



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Gary Shapiro et al.

Serial No.: 10/667,550

Examiner: Unknown

Filed: September 22, 2003

Group Art Unit: Unknown

For: INTRAVASCULAR FILTERING DEVICES AND METHODS

Docket No.: 1001.1330102

**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 14th day of December, 2003.

By

Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (Pursuant to 37 CFR §1.98(d), copies of the references are not being submitted herewith.)
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

Glenn M. Seager, Reg. No. 36,926  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
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P A T E N T

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**CERTIFICATE UNDER 37 C.F.R. 1.08:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 15 day of December, 2003.

By [Signature]  
Glenn M. Spager

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

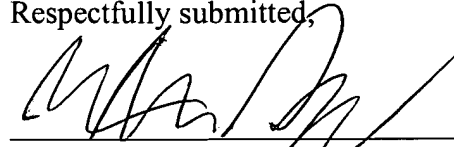
Copies of the documents listed on the 1449 Form were previously either submitted by Applicant or cited by the U.S. Patent and Trademark Office in the prior application, U.S. Application No. 09/510,816, filed February 23, 2000, upon which Applicant relies for the benefits provided in 35 U.S.C. §120. Therefore, under the

provisions of 37 C.F.R. §1.98(d), copies of these documents are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: Dec. 10, 2003

Respectfully submitted,



Glenn M. Seager, Reg. No. 36,926

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## FORM PTO-1449

Atty. Docket No.:  
1001.1330102Serial No.:  
10/667,550LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENTApplicant:  
Shapiro et al.

Filing Date:

Group Art:

September 22, 2003

Unknown

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,540,431	04/04/68	Mobin-Uddin			
	3,952,747	04/27/76	Kimmell, Jr.			
	4,425,908	01/17/84	Simon			
	4,494,531	01/22/85	Gianturco			
	4,619,246	10/28/86	Molgaard-Nielsen et al.			
	4,643,184	02/17/87	Mobin-Uddin			
	4,650,466	03/17/87	Luther			
	4,817,600	04/04/89	Herms et al.			
	4,832,055	05/23/89	Palestrant			
	4,990,156	02/05/91	Lefebvre			
	4,998,539	03/12/91	Delsanti			
	5,035,706	07/30/91	Gianturco et al.			
	5,217,484	06/08/93	Marks			
	5,324,304	06/28/94	Rasmussen			
	5,329,942	07/19/94	Gunther et al.			
	5,370,657	12/06/94	Irie			
	5,375,612	12/27/94	Cottenceau et al.			
	5,383,887	01/24/95	Nadal			
	5,601,595	02/11/97	Smith			
	5,634,942	06/03/97	Chevillon et al.			
	5,709,704	01/20/98	Nott et al.			
	5,810,874	09/22/98	Lefebvre			
	5,836,968	11/17/98	Smith et al.			
	5,836,969	11/17/98	Kim et al.			
	5,853,420	12/29/98	Chevillon et al.			
	5,968,071	10/19/99	Chevillon et al.			
	5,976,172	11/02/99	Homsma et al.			

**FORM PTO-1449**

 Atty. Docket No.:  
1001.1330102

 Serial No.:  
10/667,550

**STATE OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

Applicant:

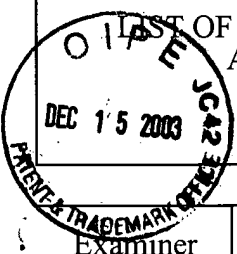
Shapiro et al.

Filing Date:

September 22, 2003

Group Art:

Unknown



Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,013,093	01/11/00	Nott et al.			
	6,267,776	07/2001	O'Connell			

**FOREIGN PATENT DOCUMENTS**

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
BF	WO 98/02112	01/22/98	PCT			
BG	WO 00/66031	11/09/00	PCT			
BH						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

BI	
BJ	
BK	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.